# Smoking Prevalence and Nicotine Patch Success Rate Within a VA Medical Center

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Smoking is a problem among veteran patients. Although nicotine patches are prescribed frequently for smoking cessation, no data exist regarding whether this treatment is successful in this patient population.

igarette smokers have been shown to have a greater risk of developing cardiovascular and pulmonary problems than nonsmokers, with an estimated 438,000 Americans dying annually from smoking-related diseases.1 Smoking is responsible for 90% of deaths caused by lung cancer, 80% to 90% of deaths caused by chronic obstructive pulmonary disease, 21% of deaths caused by heart disease, and 18% of deaths caused by stroke.<sup>2</sup> Older smokers are even more at risk, with male smokers aged 65 years and older being twice as likely to die from a stroke and 60% more likely to die from a myocardial infarction than nonsmokers the same age.<sup>3</sup> Currently, it is estimated that between 20.8% (45.3 million) and 23% (50.1 million) of all American adults smoke.4,5 Hence, it could be said that smoking

is one of the most important preventable causes of premature death in the United States.

The estimated number of smokers is higher among the veteran population (defined as those veteran patients receiving care from the VHA) than among the general population. Several explanations for the higher rate have been suggested, and many of them relate to military life. One is that soldiers had access to cigarettes during World War II, when they were distributed as part of K-rations and C-rations in an effort to boost morale.6 Another is that military personnel demonstrate key demographic characteristics that may put them at risk for smoking, including low education level, group living conditions, and exposure to stress and boredom.<sup>6</sup> Furthermore, extra breaks (to smoke a cigarette) traditionally have been given to soldiers who smoke. Finally, active duty personnel and veterans have a high rate of alcohol consumption, and smoking has been linked to alcohol consumption.7

Jonk and colleagues estimated that only 7% of veterans who smoke receive a smoking cessation aid (SCA) from VA medical centers (VAMCs), with 70% of these SCA prescriptions being nicotine patches.<sup>5</sup> Studies have shown that nicotine patches produce abstinence rates from 5% to 23.4% at 6 months, 17% at 1 year, and 13.8% at 3 years, with many more studies estimating success rates amid these values.<sup>8,9–13</sup> No literature exists regarding the success rate of nicotine patches in veteran patients who smoke, however.

Due to the unknown rate of smoking at the VA Tennessee Valley Healthcare System (VATVHS), we evaluated the prevalence of smoking during fiscal year 2008 as the primary objective of our study. We also determined the percentage of veteran patients who attempted to quit smoking with the use of nicotine patches and the smoking cessation success rate with nicotine patches as secondary objectives. Before describing our methods and results, we present background information on past estimations of smoking prevalence in veteran populations and the VA/DoD guidelines for treating tobacco use.

#### **SMOKING AND VETERANS**

#### Scope of the problem

Authors of a 1999 survey of veterans' health behaviors, after adjusting for age and sex, estimated the prevalence of smoking in the veteran population to be 33%, compared with a rate of

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23.5% among American adults.<sup>5,14–16</sup> Due to the results from this survey, the VA placed a greater emphasis on smoking cessation than had previously existed. In doing so, a directive for smoking and tobacco use cessation programs was developed in 2003 with the purpose of outlining all policies and programs associated with smoking and tobacco use and facilities' specific requirements for implementing these programs.17 This directive established that the VA would deliver the highest standard of care to veterans who desired to guit smoking and made SCAs available to all veterans, regardless of participation in a smoking cessation program.

The progress made from the time of this directive was seen in a 2007 survey of veterans' health behaviors, which showed the prevalence of smoking had decreased to 22%, compared with a rate of 19.8% among American adults.<sup>18,19</sup> Some estimates approached 30%, however, when VAMCs from different regions were evaluated separately. This estimate suggests that smoking may contribute to a greater rate of morbidity and mortality among veterans compared with the general population, especially in specific regions of the country. In December 2008, an updated directive regarding national smoking and tobacco use cessation programs replaced the 2003 directive.20 The updated directive places even more importance on smoking cessation among the veteran population.

#### Treatment

The first comprehensive evidencebased guideline for treating tobacco use was released in 1996 by the Agency for Health Care Policy and Research (AHCPR).<sup>21</sup> This guideline provided practitioners with specific information related to effective smoking cessation treatments. The



guideline was updated in 2000, and then again in 2008, and remains the most up-to-date guideline available for the treatment of tobacco use and dependence.

In an effort to more effectively treat veterans, the VA and DoD jointly developed their own guideline for smoking cessation in 1999, with an updated version becoming available in 2004. The authors of the VA/DoD guideline state their 2004 update provides a more comprehensive approach to treating tobacco use among veterans and their families, compared with the AHCPR guideline.<sup>22</sup>

Until recently, the VA and DoD relied on their own guideline to treat tobacco use. However, in late 2009. the VA/DoD Evidence-Based Practice Guideline Work Group decided to adopt the updated AHCPR guideline.23 The VA/DoD work group concluded that the AHCPR guideline provides quality, evidence-based recommendations for treating tobacco use that can be used successfully in DoD and VA health care systems. The VA/DoD work group has made several modifications to the guideline for their own use, though, which will be discussed shortly.

Table 1. Demographic characteristics of study samples(identified smokers and nicotine patch users)						
Demographic variable	Smokers, No. % (n = 13,718)	Nicotine patch users, No. % (n = 1,294)	Patch success, No. % (n = 88)	Patch failure, No. % (n = 237)		
Age, y 18–49 ≥ 50	3,154 (23.0) 10,564 (77.0)	260 (20.1) 1,034 (79.9)	10 (11.4) 78 (88.6)	38 (16.0) 199 (84.0)		
Gender Male Female	12,885 (93.9) 833 (6.1)	1,194 (92.3) 100 (7.7)	80 (90.9) 8 (9.1)	220 (92.8) 17 (7.2)		
Race White Black Hispanic Alaskan/Native American Asian/Pacific Islander Unknown	4,277 (31.2) 1,023 (7.5) 22 (0.2) 6 (< 0.1) 3 (< 0.1) 8,387 (61.1)	915 (70.7) 154 (11.9) 0 (0.0) 7 (0.5) 6 (0.5) 212 (16.4)	59 (67.1) 12 (13.6) 0 (0.0) 1 (1.1) 0 (0.0) 16 (18.2)	174 (73.4) 27 (11.4) 0 (0.0) 1 (0.4) 1 (0.4) 34 (14.4)		

Currently, 7 effective first-line SCAs are available to treat tobacco use; 5 nicotine replacement therapies (patch, gum, lozenge, inhaler, and nasal spray) and 2 nonnicotine therapies (bupropion and varenicline).<sup>8</sup> Pharmacotherapy has been shown to double the rate of smoking cessation compared with placebo,<sup>8,22,24-28</sup> with meta-analyses reporting longterm abstinent rates approaching 18% with nicotine patches, and up to 31% with bupropion.<sup>29</sup> However, no single medication has been proven more effective than the others in initiating abstinence.

While all of these agents are used in the general population to treat tobacco use, the VA/DoD work group has made several modifications. First, they provide only 4 of the 7 firstline therapies on their formulary (including nicotine patch, nicotine gum, nicotine lozenge, and bupropion).<sup>30</sup> Second, varenicline is considered a second-line therapy, and specific criteria have been developed for its use.<sup>31</sup> To be eligible to use varenicline, veteran patients must have failed first-line treatment within the previous year and have a mental health assessment related to suicide or violence risk, as in rare instances, varenicline has been associated with violent thoughts and actions toward one's self or others.<sup>31,32</sup> If patients test positive, they are required to have further evaluation by a mental health professional prior to being prescribed varenicline.

#### **STUDY METHODS**

We completed an observational, retrospective analysis of veteran patients from a single health care system comprised of 2 medical centers and 7 outpatient clinics in Tennessee and 2 outpatient clinics in Kentucky. All veteran patients who had a tobacco use clinical reminder completed in fiscal year 2008 (between October 2007 and September 2008) were included in the evaluation of the prevalence of smoking at the VATVHS.

To determine the nicotine patch success rate, we evaluated the medical records of a random sample of patients who received an outpatient prescription for nicotine patches between October 2006 and September 2007 and had a tobacco use clinical reminder reassessed 6 to 12 months after initiation of nicotine patch therapy. The sample was randomized using a random-sampler tool in Microsoft Excel (Microsoft Corporation, Redmond, Washington). Those patients who had received a prescription for nicotine patches but had not had a tobacco use clinical reminder reassessed during the specified time period were excluded.

Demographic data, including age, sex, and gender, were obtained for all smokers, as well as all patients receiving nicotine patches. The samples and all patient data were obtained from patients' medical records using the VATVHS' computerized patient record system. These data extractions were completed by informatics specialists at the health care system. One investigator completed manual medical record extractions to determine final study eligibility. The study protocol was approved by the institutional review board and research

Table 2. Nicotine patch success rateat VATVHS vs the national average					
Outcome	VATVHS observed, No. (%) (n = 325)	National average, %	<i>P</i> value		
Success	88 (27)	17	< .05		
Failure	237 (73)	83	< .05		
VATVHS = VA Tennessee Valley Healthcare System.					

and development committee at the VATVHS.

Statistical analyses were performed using Microsoft Excel. Using  $\chi^2$  analysis, we determined if statistical significance was present for our observed nicotine patch success rate and that of the expected success rate. We calculated that a sample of 325 patients was needed to determine statistical significance with a power of 80% and an  $\alpha$  of .05.

#### RESULTS

#### **Smoking prevalence**

A total of 45,543 veteran patients had a tobacco use clinical reminder assessed during fiscal year 2008. Of these, 13,718 (30.1%) had a positive tobacco use assessment (smokers), including 12,885 (93.9%) men

#### Nicotine patch success rate

A total of 38,697 patients received primary care at the VATVHS between October 2006 and September 2007. We identified 1,294 patients who received a prescription for nicotine patches during this period, with a mean (SD) age of 57 (10) years. Of the veteran patients who received nicotine patches, the majority (79.9%) were aged 50 years or older, white (70.7%), and male (92.3%).

A total of 554 patients were included in the analysis of nicotine patch success rate—325 (59%) met inclusion criteria, with 300 (92.3%) of them male and 25 (7.7%) of them female. The mean (SD) age was 58 (9) years. Nicotine patch therapy was successful in 88 (27%) patients and unsuccessful in 237 (73%) patients (P < .05) (Table 2).

The prevalence of smoking at the VATVHS is much higher than the estimated 22.6% of Tennessee adults who smoke.

and 833 (6.1%) women (Table 1). The mean (SD) age of smokers was 57 (12) years. The majority (77%) of smokers were aged 50 years or older. Although race was unknown for most (61.1%) smokers, 31.2% were white, 7.5% were black, and 0.2% were Hispanic. In the nicotine patch success group (n = 88), most were aged 50 years or older (88.6%), white (67.1%), and male (90.9%). The mean (SD) age of patients who successfully quit smoking was 58 (8) years. Twelve of the 39 (30.8%) black patients and 59 of the 233 (25.3%) white patients suc-

cessfully quit smoking. Eight of the 25 (32%) women and 80 of the 300 (26.7%) men successfully quit smoking. Of those who did not successfully quit smoking, the mean (SD) age was 58 (9) years.

#### DISCUSSION

# Why the high smoking prevalence?

Smoking is a huge cause of premature death. For this reason, smoking cessation is an extremely important area of focus for practitioners. Knowing the prevalence of smoking at a specific institution is the first step in treating the disorder. In a VA survey from 2007, the prevalence of smoking nationally for veterans was 22%.<sup>17,18</sup> According to internal reports from 2007, the prevalence of smoking in VISN 9, a geographically located network of VAMCs including the VATVHS, was 26.3%, 1 of the 2 highest rates among the 21 VISNs nationally. At the VATVHS, we found the prevalence of smoking is even higher, at 30.1%.

There are several possible explanations as to why the VATVHS has a higher prevalence of smoking. It could be that less emphasis is placed on smoking cessation at this facility than at other facilities across the rest of the country. Given that every VAMC follows the same directive and clinical reminders are in place for every patient, however, we do not feel this would explain all of the difference. It also may be that patients are not as health conscious overall as in other parts of the country, which could explain the higher rates of diabetes mellitus and obesity in the region as well. Other factors could involve patient motivation, environmental factors (including other family members who smoke), or lack of patient assessment and counseling.

The prevalence of smoking at the VATVHS is closer to the results from the 1999 VA survey that found 33% of veterans smoke.14 Since we do not have specific prevalence rates from the VATVHS during that time, it is difficult to determine a trend for our institution. However, the prevalence of smoking at the VATVHS is much higher than the estimated 22.6% of Tennessee adults who smoke.33 Knowledge of the prevalence of smoking specifically at the VATVHS will give us a baseline figure to compare with future assessments of smoking prevalence to determine the trend of smoking and smoking cessation.

It seems apparent that there needs to be a higher emphasis placed on smoking cessation at the VATVHS, as the prevalence rate among its veteran patients is 8.1 percentage points (36.8%) higher than the national average. From a financial standpoint, there could be tremendous cost savings from improved treatment of smoking, as the medical expenses for smoking-related diseases are enormous, reaching \$193 billion annually.<sup>26</sup> Based on a national smoking rate of 23%, this is about \$3,852 per smoker annually. The VATVHS has a patient base of 82,159 veterans, and with a 30.1% prevalence of smoking, there would be about 24,730 patients who smoke. Assuming these numbers are all accurate, decreasing the prevalence of smoking at the VAT-VHS by 5% could potentially save \$16.8 million annually. While this estimate is hypothetical, the potential cost savings would be expected to be significant.

# Nicotine patches more effective than expected

Nicotine patches traditionally have been the most widely prescribed SCA in VAMCs, accounting for 70% of SCAs provided.<sup>5</sup> Prior studies have shown that about 7% of veteran patients receive SCAs from the VA. In our study, 9.4% of smokers at the VATVHS received SCAs in the form of nicotine patches. This percentage does not include patients receiving other SCAs, including other nicotine replacement products (gum, lozenge, etc.) and prescription medications (bupropion and varenicline). Therefore, we are confident that the overall percentage of smokers who receive any SCA from the VATVHS is higher than the 9.4% we observed for nicotine patches alone.

With our observed success rate of smoking cessation using nicotine patches significantly higher than expected, it is appropriate to continue in the veteran patient population. With a high relapse rate in the veteran population, we expected that the success rate would be lower than the average reported in the medical literature. However, we found that the success rate (27%) was significantly higher than national averages (P < .05).

An interesting finding was that only 59% of patients who received nicotine patches had a tobacco use clinical reminder reassessed 6 to 12 months after initiating patches. It is extremely important that these patients be continuously asked about their smoking status, especially if they are receiving SCAs to help them quit. If they are offered help, but do not have their smoking status reas-

Decreasing the prevalence of smoking at the VATVHS by 5% could potentially save \$16.8 million annually.

to utilize nicotine patches for smoking cessation in veteran patients. It may even be appropriate to prescribe nicotine patches to patients who are not immediately interested in smoking cessation if they are agreeable to using the patches, based on a recently published review by Moore and colleagues. These authors concluded that nicotine replacement increases sustained smoking cessation rates among smokers who are unwilling or unable to quit immediately.34 Therefore, there is potential for an increased number of veteran patients to successfully quit smoking, even if they are not quite ready to quit. To our knowledge, this is the first study to examine the success rate of nicotine patches specifically sessed, then it seems likely that they will relapse or not quit at all. It may be appropriate for providers to receive more education about continuing to help these patients even after the initial SCA prescription.

#### LIMITATIONS

Several limitations to our study exist. First, there was an overall lack of tobacco use clinical reminders that were assessed during the time frame. Patients receiving primary care at the VATVHS are supposed to have these assessments completed at least annually. Unfortunately, some veteran patients will wait up to 14 to 16 months between primary care appointments. This could be 1 explanation for the decreased number of tobacco use

assessments completed during the study period. Regardless, an attempt should be made at every possible patient encounter to document smoking status, and if positive, complete the rest of the steps in the tobacco use treatment algorithm.

Second, there are a number of patients who receive SCAs from outside the VATVHS, making it difficult to assess the actual percentage of veteran patients who attempt to quit smoking. Again, similar to the first limitation, patients who take up to 16 months to schedule a follow-up appointment after receiving nicotine patches at the VATVHS would not have had a tobacco use reassessment during the study time frame, excluding them from the study.

We did not have the resources to look at all the different SCAs used at the VATVHS; therefore, we chose to focus on the most commonly prescribed therapy. Knowing the success rate for other SCAs would be extremely beneficial, because the approach to treating veteran patients who want to quit smoking could possibly change based on other success rates.

Lastly, we were unable to assess the number of veteran patients who participated in smoking cessation clinics. These clinics have been shown to improve the rate of smoking cessation, as they are able to provide more support to the patients. The VATVHS has smoking cessation clinics available for patients wishing to participate; however, no pharmacists are involved in these clinics. The addition of a pharmacist to help in these clinics could be another potential improvement in treating tobacco use. It would be expected that veteran patients who attend these clinics and use nicotine patches may have a higher success rate than those not attending the clinics.

Of note, Tennessee is part of what is traditionally known as "tobacco country." Tobacco country includes such states as Kentucky, Virginia, North Carolina, South Carolina, and Tennessee, all of which traditionally have relied upon growing tobacco as 1 of their largest agricultural cash crops. In 2007, 4 of these 5 states, including Tennessee, were among the 11 states with the highest prevalence of smoking.35 It seems logical that growers would be more inclined to smoke; however, it seems unlikely that this would affect individuals who do not participate in the actual growing process. Furthermore, with cigarettes being so widely available at most facilities, we do not believe that this is has any effect on the results of this study.

#### CONCLUSION

The rate of smoking among veteran patients at the VATVHS is higher than both the national and VISN averages. We could make the argument that more emphasis needs to be placed on smoking cessation at the VATVHS; however, the percentage of smokers who received nicotine patches is higher than the national average of all SCAs prescribed. With this being the case, the primary area for improvement may be that of providing better support to veterans who attempt to quit smoking. By providing better support, we could possibly increase the number of patients who successfully quit smoking. For those who are willing to attempt to quit, the continued use of nicotine patches as firstline therapy is appropriate, based on the fact that they were shown to have a higher success rate than many of the documented rates in the medical literature. Until smoking cessation success rates for other SCAs among veteran patients are made available, we promote the use of nicotine

patches as first-line therapy for smoking cessation in the veteran population.

#### Author disclosures

The authors report no actual or potential conflicts of interest with regard to this article.

#### Disclaimer

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