

Strategies to help patients break the chains of tobacco addiction

Evidence-based treatments can help patients quit despite psychiatric illness

You are treating Mr. P, age 34, for schizoaffective disorder. He smokes 1 pack of cigarettes per day and has smoked for approximately 17 years. He has tried to stop but never has been able to quit for more than a few weeks. He reveals whenever he tries to quit, he starts feeling extremely lethargic and “depressed” and resumes smoking to prevent these symptoms from worsening. However, Mr. P expresses some interest in trying to quit again and asks whether any medications could prevent him from becoming depressed while he tries to quit.

Cigarette smoking is overrepresented and undertreated among individuals with psychiatric illness, in part because of the largely unfounded belief held by some patients and clinicians that smoking cessation might worsen psychiatric symptoms. In this article, we argue this challenge can be overcome and psychiatrists and other mental health professionals can and should help their patients reap the innumerable benefits of quitting smoking. We discuss:

- the short- and long-term effects of smoking cessation
- evidence-based treatment guidelines for working with motivated and unmotivated smokers
- unique issues that may arise when treating smokers who have psychiatric disorders.

Quitting: Profound benefits

Quitting smoking has substantial benefits beginning within minutes after taking the last puff. Some of the



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Smoking cessation

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Nicotine withdrawal symptoms tend to be more severe in smokers who have a psychiatric disorder

benefits that occur within the first few days of quitting include:

- decreased blood pressure and pulse rate
- improved circulation
- improved ability to smell and taste
- easier breathing.

Longer-term smoking abstinence drastically reduces risk of heart attack, stroke, cancer, respiratory disease, and a host of other illnesses that affect—and kill—individuals with psychiatric disorders several decades earlier than their counterparts in the general population.¹ There also are financial benefits to quitting; using the 2009 national average of \$5.33 per pack, a 1-pack-per-day smoker who quits would save >\$150 per month, which accounts for only the direct cost of cigarettes.²

Although the beneficial effects of quitting smoking are profound and far-reaching, in the short-term they are counterbalanced by nicotine withdrawal symptoms—including restlessness, irritability, depressed mood, concentration problems, and increased appetite/weight gain—that are formidable distractions from the positive aspects of quitting. Additionally, nicotine withdrawal symptoms tend to be more severe in smokers who have a psychiatric disorder.³ Fortunately, there are effective, evidence-based methods of reducing withdrawal symptoms and helping smokers cope with these and other challenges of quitting.

Combined treatment is best

Current treatment guidelines⁴ suggest all smokers should be offered pharmacotherapy and counseling to aid quitting because this combined approach has the highest success rate (*Algorithm*). *Table 1 (page 44)*⁴ provides information about dosing, efficacy, and side effect profile of each of the 7 FDA-approved medications for smoking cessation. Using any of the approved medications at least doubles the odds of successful quitting compared with placebo.⁴ These pharmacotherapies can reduce or prevent nicotine withdrawal symptoms and—at least in the case of bupropion and varenicline—decrease reinforcement from smoking, thereby lowering the likelihood a lapse (ie, smoking ≥ 1 cigarettes without returning to regular

smoking) will develop into a full-blown relapse (ie, return to regular smoking).

Medication selection depends on many factors, including:

- the patient's psychiatric illness
- her/his prior response to smoking cessation pharmacotherapies
- concomitant psychiatric medications
- patient preference.⁵

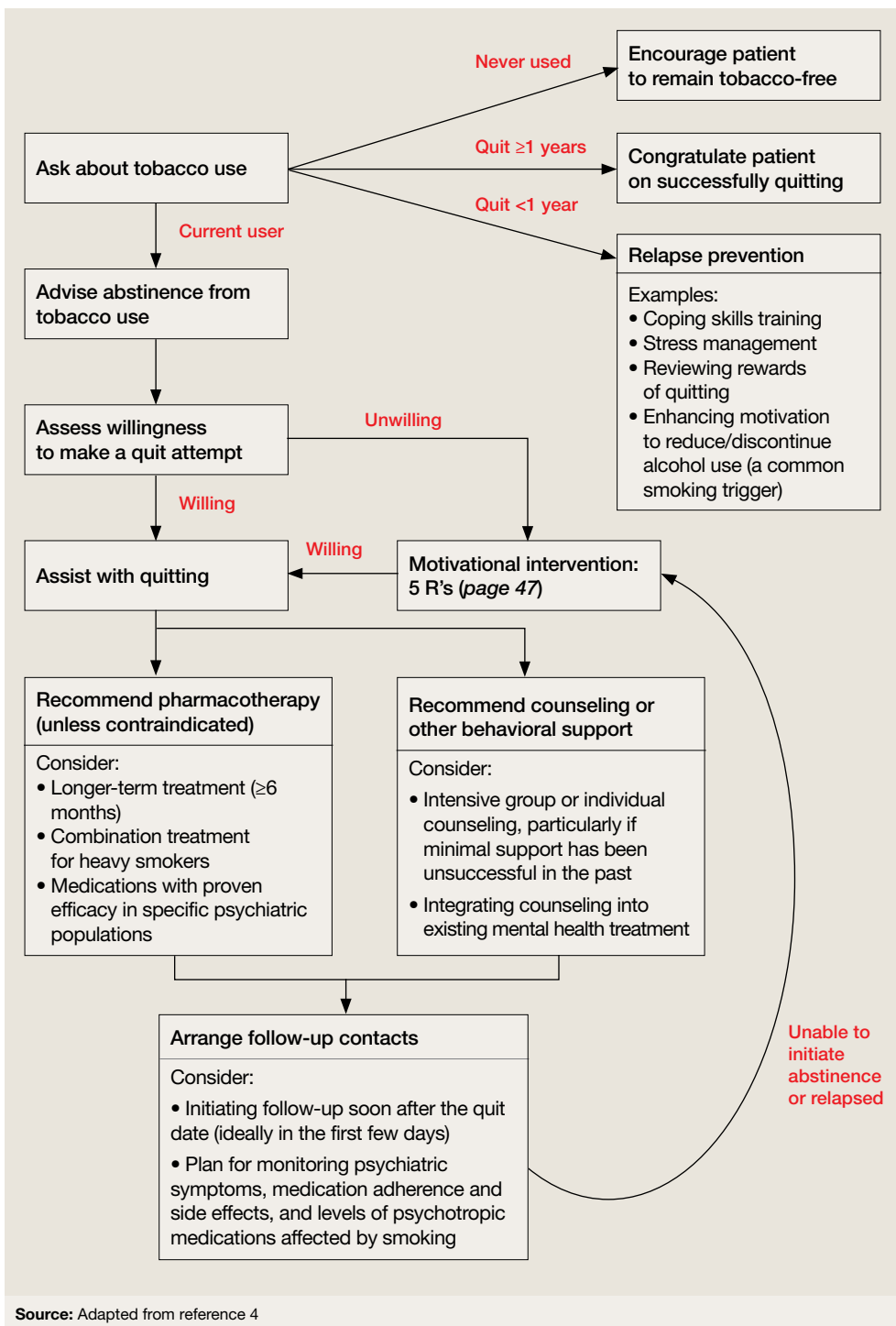
Placebo-controlled trials of smoking cessation aids in psychiatrically ill patients are limited, but several studies of smokers with a history of major depression indicate treatment with bupropion SR or nortriptyline is effective.⁶ Similarly, although relapse rates generally are higher in patients with schizophrenia compared with non-mentally ill smokers, nicotine replacement therapy and bupropion SR are more effective than placebo in patients with this disorder.^{7,8} When we prescribe these treatments, we tend to extend the duration of treatment beyond those described in *Table 1 (page 44)*,⁴ and to use combined treatments (eg, a transdermal patch with a shorter-acting gum or lozenge preparation) to better target the marked withdrawal symptoms more severely nicotine-dependent patients frequently experience.

Counseling. All smokers should be provided with brief interventions consistent with the 5 A's—Ask, Advise, Assess, Assist, and Arrange (*Table 2, page 46*).⁴ For smokers who are not motivated to quit, the recommended approach follows the principles of the 5 R's—Relevance, Risks, Rewards, Roadblocks, and Repetition (*Table 3, page 47*).⁴ Smokers who are motivated to quit and willing to participate in more intensive treatment may be offered face-to-face individual or group counseling (depending upon availability) or referred to a telephone quit line (see *Related Resources, page 49*). Intensive treatments such as these typically provide social support and assistance overcoming barriers to cessation and developing skills to initiate and maintain abstinence (eg, coping with a lapse or handling cravings, identifying and avoiding high-risk situations for smoking). As a general rule, greater intensity of counseling is associated with a greater likelihood of quitting.⁴

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Tobacco cessation treatment for psychiatric patients



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Combined smoking cessation treatments may better target the marked withdrawal symptoms severely nicotine-dependent patients experience

Q&A about treatment

How effective are smoking cessation interventions for individuals with psychiatric disorders? Several studies have demonstrated, on any given quit attempt, smokers with psychiatric or substance use

disorders can be as successful as smokers without these disorders.⁹⁻¹¹ In fact, quit rates as high as approximately 70% for end-of-treatment¹¹ and 30% for 6-month follow-up¹⁰ have been reported. Of course, effectiveness varies by type and intensity of



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Evidence does not support selecting a smoking cessation treatment solely based on a patient's psychiatric disorder

Table 1

First-line pharmacotherapies for smoking cessation

Medication	Standard dosage	Efficacy (OR, % abstinent at 6 mos. [with 95% CI])	Contraindications (C) and precautions (P)	Common side effects
Non-nicotine medications				
Bupropion	Days 1-3: 150 mg/d Days 4-8: 150 mg bid Continue for 7-12 weeks at 150 mg bid	2.0 (1.8-2.2), 24% (22%-26%)	C: Eating disorders, seizure history, taking bupropion, MAOI in past 2 weeks P: Pregnancy, cardiovascular disease, warning for emergent psychiatric symptoms	Insomnia, dry mouth
Varenicline	Days 1-3: 0.5 mg/d Days 4-7: 0.5 mg bid Day 8+: 1 mg bid Continue 11 weeks at 1 mg bid; up to 6 months for maintenance	3.1 (2.5-3.8), 33% (29%-38%)	P: Warning for emergent psychiatric symptoms	Nausea, sleep problems, abnormal dreams
Nicotine replacement therapies				
Nicotine gum	1 piece every 1-2 hours for 6-12 weeks <20 cigarettes/d: 2 mg gum ≥20 cigarettes/d: 4 mg gum	1.5 (1.2-1.7), 19% (17%-22%)	P: Pregnancy, recent myocardial infarction, serious arrhythmia, unstable angina	Mouth soreness, hiccups, dyspepsia
Nicotine inhaler	6-16 cartridges/d, up to 6 months	2.1 (1.5-2.9), 25% (19%-32%)	Same as above	Mouth/throat irritation, coughing, rhinitis
Nicotine lozenge	9-20 lozenges/d, up to 12 weeks Smoke ≤30 minutes after waking: 4 mg lozenge Smoke >30 minutes after waking: 2 mg lozenge	2.0 (1.6-2.5) ^a	Same as above	Nausea, hiccups, heartburn
Nicotine nasal spray	1-2 doses/hour, 8-40 doses/d for 3-6 months	2.3 (1.7-3.0), 27% (22%-33%)	C: Severe reactive airway disease P: Same as above	Nasal irritation, higher risk of dependency
Nicotine patch	1 patch/d, step-down dosing over 8 weeks Weeks 1-4: 21 mg patch Weeks 5-6: 14 mg patch Weeks 7-8: 7 mg patch	1.9 (1.7-2.2) 23% (21%-26%)	P: Same as above	Skin reactions, sleep problems, abnormal dreams

^aStead LF, Perera R, Bullen C, et al. Nicotine replacement therapy for smoking cessation. *Cochrane Database Syst Rev.* 2008;1:CD000146.

bid: twice a day; CI: confidence interval; MAOI: monoamine oxidase inhibitor; OR: odds ratio

Source: Adapted from reference 4

treatment as well as by individual characteristics of the smoker. Smokers with psychiatric disorders may fare better with more intensive interventions than briefer ones,^{12,13} and factors such as high levels of nicotine dependence and exposure to smoking environments—both of which are characteristic

of smokers with serious mental illness—can negatively impact treatment outcomes.⁴

Should the nature of the psychiatric disorder(s) guide decisions about the optimal pharmacotherapy or counseling approach? There have been numerous attempts



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Some research suggests quitting smoking does not worsen symptoms of several common psychiatric disorders

Table 2

The 5 A's of tobacco treatment

	Intervention	Example
Ask	Systematically inquire about tobacco use	"Do you currently use, or have you ever used, tobacco products?"
Advise	Counsel all tobacco users to quit in a clear, strong, and personalized manner	"I think it is very important for you quit smoking to keep your breathing problems from getting any worse"
Assess	Determine the tobacco user's willingness to make a quit attempt	"What do you think? Are you ready to quit?"
Assist	Offer or refer to treatment/support (if ready to quit; if not ready, see <i>Table 3</i> for recommended interventions)	"I'm here to help you with this. Let me start by letting you know about the many options available to help you quit"
Arrange	Plan for follow-up contacts (at least 1, preferably within 1 week of the quit date)	"I would like to give you a call within the next week to see how you did with your quit date. Would that be OK with you?"

Source: Adapted from reference 4

to investigate the effectiveness of targeted interventions for particular subgroups of smokers with psychiatric disorders, including:

- studies of the efficacy of the antidepressants bupropion¹⁴ and nortriptyline¹⁵ as well as cognitive-behavioral therapy-based mood management counseling¹⁶ for depressed smokers
- integrative treatment approaches for smokers with posttraumatic stress disorder (PTSD)¹⁷
- group counseling designed specifically for smokers with schizophrenia.^{18,19}

Although more research is needed and there have been some promising early results (eg, McFall et al¹⁷), current literature does not provide consistent evidence supporting treatment matching solely on the basis of the psychiatric disorder. Rather, patient preference, safety considerations (eg, use of medications in children/adolescents, pregnant women), medication side effect profiles, prior experience with the treatment approach, and cost/availability of treatment should guide development of the treatment plan. When results from placebo-controlled trials are available for subgroups of patients (eg, those with a history of major depression), consider this information when selecting a pharmacologic smoking cessation aid.

What is the risk of psychiatric symptoms worsening as a result of quitting smoking? Little research on this topic is avail-

able because more often than not, smokers with psychiatric disorders are excluded from tobacco treatment studies. However, research examining psychiatric status changes among recent quitters with schizophrenia,^{20,21} depression,^{22,23} PTSD,¹⁷ and substance use disorders²⁴ suggests smoking cessation does not worsen symptoms of these disorders, and may be associated with symptom improvement.¹⁷ Nonetheless, driven largely by anecdotal evidence, the misconception that smoking cessation worsens psychiatric symptoms remains a substantial barrier to treatment.

Mr. P's case is an example of how not probing about the nature of psychiatric complaints can be problematic. Mr. P reported what on first glance appeared to be a worsening of psychiatric symptoms starting when he stopped smoking and resolved when he resumed smoking. However, without gathering additional information about these events, we cannot conclude stopping smoking caused his psychiatric symptoms to worsen. Other potential explanations include nicotine withdrawal symptoms, side effects of smoking cessation medications, an increase in levels of psychotropic medications for which metabolism is affected by tobacco smoke, or the natural course of his mood disorder. The timing of the onset and offset of symptoms seems to argue against Mr. P's symptoms reflecting the natural course of

Table 3

The 5 R's: Principles of interventions for smokers not ready to quit

	Principle	Example
Relevance	Why is quitting smoking personally relevant?	"You've told me your kids sometimes make comments to you about quitting smoking. How does that affect you?"
Risks	What are the negative consequences of smoking?	"What don't you like about smoking? What problems have you had from smoking?"
Rewards	What are the benefits of quitting smoking?	"Can you think of anything that would be good about quitting? Tell me about that"
Roadblocks	What are the barriers to quitting?	"What worries do you have about trying to quit? What happened the last time you tried to quit smoking?"
Repetition	Message repeated at every visit	"I know we have talked about quitting smoking before, but things may have changed since then. I also think that this is such an important issue we should keep it on the table for discussion. What do you think?"

Source: Adapted from reference 4

his mood disorder, but the other 3 explanations remain plausible.

It is important to distinguish whether Mr. P's worsening symptoms are consistent with a depressive episode or whether they are a manifestation of the transient dysphoria that accompanies nicotine withdrawal. Assessing the severity and persistence of the mood disturbance as well as the timing of onset could help make this determination. Nicotine withdrawal symptoms typically emerge within 24 hours of quitting or significantly reducing smoking and tend to peak within approximately 1 week. Thus, depressive symptoms that develop after weeks or months of abstinence would be less consistent with nicotine withdrawal. Additionally, the lethargy Mr. P reported may be a symptom of depression, or it may stem from a cessation-induced increase in antipsychotic serum levels. Because tobacco smoke increases the metabolism of several antipsychotics and antidepressants—including olanzapine, clozapine, haloperidol, and fluoxetine²⁵—stopping smoking may increase medication levels and side effects. To rule out medication side effects as a cause of post-cessation mood changes, the psychiatrist should ask Mr. P about which smoking cessation pharmacotherapies (if any) he was using and which psychotropic medications he was taking. Unfortunately, such a detailed history is not always taken,

and patient-generated theories of smoking cessation causing worsening psychiatric symptoms often are taken at face value.

When should smokers with psychiatric disorders be encouraged to quit? Are there times when smoking cessation should be discouraged? Tobacco treatment guidelines⁴ recommend advising users to quit at every clinical encounter, but there has been some debate about the timing of tobacco treatment for smokers with psychiatric disorders. There is minimal research to guide such treatment decisions. However, even if quit attempts are more successful during times of symptomatic stability—and there is no conclusive evidence to indicate they are—waiting for perfect mental health before initiating smoking cessation treatment is unnecessary and ill-advised. In some situations, such as when a patient has experienced an acute increase in psychiatric symptoms or when psychotropics are being titrated, a short-term postponement of quitting may be reasonable. However, discouraging smokers from trying to quit when they express readiness to try should be done sparingly, because it is uncertain how long that window of opportunity will be open, and the consequences of missed opportunities can be fatal.

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Stopping smoking may increase serum levels of some medications, leading to increased side effects

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Related Resources

- National Tobacco Quitline. 1-800-QUIT-NOW. www.smokefree.gov.
- University of California, San Francisco, Schools of Pharmacy and Medicine. Rx for Change (free online training program for clinicians). <http://rxforchange.ucsf.edu>.
- National Association of State Mental Health Program Directors. Tobacco-free living in psychiatric settings: a best-practices toolkit promoting wellness and recovery. www.nasmhpd.org/general_files/publications/NASMHPD.toolkit.finalupdated90707.pdf.

Drug Brand Names

Bupropion • Wellbutrin, Zyban	Nortriptyline • Aventyl, Pamelor
Clozapine • Clozaril	Olanzapine • Zyprexa
Fluoxetine • Prozac	Varenicline • Chantix
Haloperidol • Haldol	

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Waiting for a patient to exhibit perfect mental health before starting smoking cessation treatment is unnecessary and ill-advised

Bottom Line

Smoking has a profound negative impact on the health and quality of life of individuals with psychiatric disorders. Clinicians can help patients improve their physical and mental health by consistently offering evidence-based smoking cessation treatment that combines approved pharmacotherapies and counseling.